

SEBI Single Reg. No: INZ000243934 NSDL-CDSL Reg. No: IN-DP-310-2017 Trading Member: JKB Financial Services Limited CIN: U65990JK2008SGC002931 AMFI-Reg. No: ARN-82988 NSE Trading Member ID: 14411

BSE Trading Member ID: 6460

DEMAT & TRADING ACCOUNT OPENING FORM

NON - INDIVIDUALS / LEGAL ENTITY

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No	Name of Document	Brief Significance of the Document	Page No
1	Account Opening Form.	Part I - KYC Form: Document captures basic information about the constituent.	2-3
'	Account Opening Form.	Part II - Document capturing additional information about the constituent relevant to Trading/Demat Account.	4-9
2	Instructions/Checklist.	Document stating brief instructions for filling KYC Form & documents required.	Client Copy
3	Rights and Obligations.	Document stating the Rights & Obligations of the beneficiary owner & DP, Stock Broker / Trading Member, Sub-Broker & Client for trading on exchanges (including additional rights & obligations in case of Internet/Wireless technology based trading).	Client Copy
4	Risk Disclosure Document (RDD).	Document detailing risks associated with dealing in the securities market.	Client Copy
5	Guidance Note. Policies and Procedures.	Document detailing Do's & Don'ts for trading on exchange, for the education of investors & significant Policies & Procedures of the Stock Broker	Client Copy
6	FATCA & CRS Declaration	Document detailing information such as your PAN details, country of birth, country of residence, Nationality, Occupation, Gross Annual Income, and other details.	10-11
7	Tariff Sheet.	Document detailing the Rate/Amount of brokerage and the charges levied on the client for trading on the Stock Exchange(s).	12
8	MITC	Most important terms and conditions.	14
VOLU	NTARY DOCUMENTS AS PR	OVIDED BY THE STOCK BROKER	
1	Undertaking / Authorisation.	Document contains voluntary undertakings by the client with respect to JKBFSL internal operations during the course of the relationship with JKBFSL.	13
2	Running Account Authorisation.	Letter of Authorisation for maintaining account with JKBFSL	13
3	BMLI & DDPI.	Document confirming the rights of JKBFSL to Debit/Credit, Block/Unblock, Pledge/Unpledge Funds/Securities in the linked Bank/Demat Account.	15-17

Grievance Redressal

For any grievance or dispute, kindly contact JKB Financial Services at below address or email us on customer.grievance@jkbfsl.com and Call Us On +91 (0) 194 248 3866

In case not satisfied with the response, please contact the concerned Exchange/Depository at: 1. NSE: ignse@nse.co.in 91 (0) 22 26598100 | 2. BSE: is@bseindia.com 91 (0) 22 22728097 | 3. NSDL: https://investor.nsdl.com/portal/en/home

Investor can also lodge compliant/grievance against the DP/TM through SEBI Scores on https://scores.sebi.gov.in/

Managing Director: Mr. Syed Aadil Bashir Andrabi T: +91 194 231 1512 E: managingdirector@jkbfsl.com

Compliance Officer: Mr. Mudassir Ah Padder T: +91 194 231 1512 E: complianceofficer@jkbfsl.com

| Important Instructions: | A) Fields marked with '*' are mandatory fields. | F) List of State/ U.T code as per Indian Motor Vehicle Act,1988 is available at the end. | B) Tick (v) wherever applicable. | G) List of two character ISO 3166 country codes is available at the end. | H) Please fill the date in DD-MM-YYYY format. | H) Please read section wise detailed guidelines/ instructions at the end. | For particular section update, please tick (v) in the box available before the section number and strike off the sections not required to be updated. | For Office Use Only | Application Type* | New | Update | Update | (Mandatory for KYC update request)

For Office Use Only Application Type* New Update (to be filled by financial institution) KYC Number (Mandatory for KYC update request)
□1. ENTITY DETAILS* (Please refer instruction A at the end)
Name*
Entity Constitution Type* Others (Specify) (Please refer instruction B at the end)
Date of Incorporation/Formation* DD-MM-YYYYY Date of Commencement of Business DD-MM-YYYYY
Place of Incorporation / Formation* Country of Incorporation / Formation*
TIN or Equivalent Issuing Country PAN* Form 60 furnished
TIN / GST Registration Number
□2. PROOF OF IDENTITY (Pol)* (Please refer instruction B at the end)
Officially valid document(s) in respect of person authorised to transact
Certificate of Incorporation / Formation Registration Certificate Regn Certificate No
Memorandum and Articles of Association Partnership Deed Trust Deed Description of Record / Managing Committee Description of Partnership Deed
Resolution of Board/ Managing Committee Power of attorney granted to its manager, officers or employees to transact on its behalf Activity Proof - 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only)
□3. ADDRESS* (Please see instruction C at the end)
3.1 Registered Office Address / Place of Business*
Proof of Address Certificate of Incorporation Formation Registration Certificate Other Document
Line 1*
Line 2
Line 3 City/ Town/ Village*
District* PIN/Post Code* State/UT Code* ISO 3166 Country Code*
3.2 Local Address in India (If different from above) *
Line 1*
Line 2
Line 3 City/ Town/ Village*
District* PIN/Post Code* State/UT Code* ISO 3166 Country Code*
□4. CONTACT DETAILS (All communications will be sent to Mobile number/ Email-ID provided" may be used) (Please refer instruction D at the end)
Tel. (Off) Fax
Mobile Email ID
Mobile Email ID
□ 5. NUMBER OF RELATED PERSONS (Please refer instruction E at the end)
□6. REMARKS (If any)

7. APPLICANT DECLARATION (Please refer instruction G at the end)

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We are aware that I/We may be held liable for it.
- I/We hereby consent for receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

I give my consent to download my KYC Records from the Central KYC Registry for the purpose of verification of my identity and address from the database of CKYCR Registry.

Date: DD-MM-	Y Y Y Y Place:			Signature / Thumb Impression
				SI
				Signature / Thumb Impression of Authorised Person(s)
8. ATTESTATION /	FOR OFFICE USE ONL	_Y		
Documents Received	Certified Copies	Equivalent e-c	document	
KYC VEF	RIFICATION CARRIED OU	ТВҮ		INSTITUTION DETAILS
Identity Verification	Done Date DD-	MM-YYYY	Name	
Identity Verification Emp. Name	Done Date DD-	MM-Y Y Y Y	Name Code	
	Done Date DD-			
Emp. Name	Done Date DD-			
Emp. Name	Done Date D D			[Institution Stamp]

Signature / Thumb Impression

FORM 9 PART II ACCOUNT OPENING FORM | Non Individuals / Legal Entity

, , ,	DEMAT ACCOUNT RELATED DETAILS NCIAL SERVICES LIMITED d, Jawahar Nagar, Srinagar (J&K) 190008 at in my/our name as per the following details Account Category	DP-ID: IN302349 Date BSDA
1. ACCOUNT HOLDER DETAILS FIRST HOLDER SECOND HOLDER THIRD HOLDER		
2. TYPE OF ACCOUNT Body Corporate Trust Bank Date of Incorporation	○ FII ○ Qualified Foreign Investor ○ CM ○ HUF ○ Others (Please	○ Mutual Fund e Specify)
	Firm, Unregistered Trust, etc. Although the account is opened i	
3. INCOME DETAILS (Please Specify) Net Worth [not older than 1 year]. Rs. Income Range per annum [Please tick any one		As on date
Below Rs 20 Lacs Rs 20 – 50 4. In Case of FIIs / Others (as may be approval Reference No SEBI Registration No (For FIIs)	plicable)	Approval Date
5. BANK ACCOUNT DETAILS Bank A/C Number	Type of A/C SAVINGS CURREN MICR PIN	NT Bank Name:
6. PLEASE TICK IF APPLICABLE [For any of your Authorised Signato Politically Exposed Person (PEP)	ories/ Promoters/ Partners/ Karta/ Trustees/ Whole T Related to Politically Exposed Person (PEP)	Time Directors] O None
7. CLEARING MEMBER DETAILS (to be Name of Stock Exchange Name of CC/CH Clearing Member ID SEBI Registration Number	e filled up by Clearing Members only)	
Trade Name CM-BP-ID (to be filled up Participant)		
8. STANDING INSTRUCTIONS Authorisation to transfer credits automat Account to be operated through Power of Receive Annual Report, AGM Notices and SMS Alert Facility. Mode of receiving Statement of Account	Attorney (POA). (If yes, submit POA later) Other Communications from issuer & RTA in Electronic Form Sole/First Holder Physical	YES NO YES NO YES NO Cond Holder Third Holder Actronic [Read Note 3 and ensure that all ID is provided in KYC Application Third Holder

LIST OF FAMILY MEMBERS [Separate annexure to be attached in case number of members is higher] Date of Birth Relation with Karta S.No Name of Coparcener/ Member Gender Whether Coparcener/ Member 10. DECLARATION The rules and regulations of the Depository and Depository Participants/stock broker pertaining to an account which are in force now have been read by me/us and I/we have understood the same and agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case nonresident account, I/we have complied and will continue to comply with FEMA regulations. I/we have also been informed that the standard set of documents has been displayed for information on DP/stockbroker's designated website www.jkbfsl.com. 11. AUTHORISED SIGNATORIES [Enclose a Board Resolution for Authorised Signatories. In case of HUF; Details of Karta to be given] Sole/ First Holder Name Signature(s) First Signatory/ Karta of HUF S1 **Second Signatory** Third Signatory **OTHER HOLDERS** Second Holder Third Holder

12	. MODE OF OPERATION	N FOR SOLE, FIRST HOLDER [In case of Joint Holdings, all the Holder(s) must sign. Not applicable in case of HOF]
	Anyone Singly	
	Jointly By	
	As per resolution	
	Others (Please Specify)	

NOTES

- 1. In case of additional signatures, separate annexures should be attached with the application form
- 2. Thumb Impressions and Signatures other than English or Hindi or any of the other language not contained in the 8th schedule of the Constitution of India must be attested by a Magistrate of Notary Public or a Special Executive Magistrate.
- 3. For receiving Statement of Account in Electronic Mode:
 - a. Client must ensure the confidentiality of the password of the email account.
 - b. Client must promptly inform the DP if the email address is changed.
 - c. Client must opt to terminate this facility by giving 10 days' prior notice. Similarly, DP may also terminate this facility by giving 10 days' prior notice.
- 1 Strike Off whichever isn't annlicable

FORM 11 PART I - KNOW YOUR CLIENT (KYC) Application Form | Non Individuals / Legal Entity CHANGE REQUEST (Please tick ✓ the appropriate) (Please fill all the details in ENGLISH & in CAPITAL LETTERS only) Acknowledgement No. (Please tick / the box on left margin of appropriate row where CHANGE/CORRECTION is required & provide the details in the corresponding row) **IDENTITY DETAILS** Name of the Applicant 2a. Date of Incorporation DD D MM - Y 2b. Place of Incorporation Date of Commencement of business | D | D | - M | M | - | Y | Y | Y | Y 4. PAN Registration No. (e.g. CIN) Status (Please tick √ the appropriate) Private Ltd Co. O Public Ltd. Co. Body Corporate Partnership Trust ONGO ○ FI ○ FII O HUF Charity OAOP Bank O Govt. Body O Defense Establishment О воі ○ LLP Others (Please Specify) ○ Society В **ADDRESS DETAILS** Address for Correspondence City/ Town/ Village Pin Code Country Specify the Proof of Address submitted for Correspondence Address ○ Latest Landline Bill* ○ Latest Electricity Bill* Regd. Lease/Sale Agreement of Office Premises* ○ Latest Bank A/C Statement* **Contact Details** Tel. (Office) Fax Tel. (Residence) Mobile Email Registered Address (If different from above) City/ Town/ Village Pin Code Country Specify the Proof of Address submitted for registered address: ○ Latest Landline Bill* ○ Latest Electricity Bill* Regd. Lease/Sale Agreement of Office Premises* ○Latest Bank A/C Statement* Any other Proof of Address Document (*Not more than 3 months old.) Validity/Expiry Date of POA submitted DDD - MM - Y OTHER DETAILS Name, PAN, Residential Address & Photographs of Promoters/ Partners/ Karta/ Trustees & Whole Time Directors: (If space is insufficient, enclose these details separately [illustrative format enclosed]) DIN of Whole Time Directors: (If space is insufficient, enclose these details separately [illustrative format enclosed]) AADHAAR No. of Promoters/ Partners/ Karta: $(\textit{If space is insufficient, enclose these details separately [\textit{illustrative format } \overline{\text{enclosed}]})$ **DECLARATION** I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We are aware that I/We may be held liable for it. Date: D D - M M - Y Y Y

Date/.....

(Self-Attested) Self Certified Document Copies Received

Signature of the Authorised Signatory

DETAILS OF PROMOTERS/ PARTNERS/ KARTA/ TRUSTEES & WHOLE TIME DIRECTORS FORMING A PART OF KNOW YOUR CLIENT (KYC) APPLICATION FORM FOR NON-INDIVIDUALS

Name		
	D.O.B DD-MM-YYYY	
Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		PHOTOGRAPH
a) PAN b) DIN/ UID		
Residential/ Registered Address		Please affix a recent
Residentialy Registered Address		passport size photograph & sign
// Town/ Village	Pin Code	across it.
te	Country	
Please tick (/) the applicable option: Opolitically Exposed Personal Not a Politically Expose	on (PEP) Related to Politically Extends on (PEP) / Not Related to Politically Ex	
Name		
	D.O.B D - M M - Y Y Y	PHOTOGRAPH
Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		PHOTOGRAPH
a) PAN b) DIN/ UID		
Residential/ Registered Address		Please affix a recent
		passport size photograph & sign
/ Town/ Village	Pin Code	across it.
te	Country	
	on (PEP) Related to Politically Extended to Politicall	
Not a Politically Expose	•	
Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)	d Person (PEP) / Not Related to Politically Ex	xposed Person (PEP)
Not a Politically Expose Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID	d Person (PEP) / Not Related to Politically Ex	PHOTOGRAPH Please affix a recent
Not a Politically Expose Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID	d Person (PEP) / Not Related to Politically Ex	PHOTOGRAPH
Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID Residential/ Registered Address	d Person (PEP) / Not Related to Politically Ex	PHOTOGRAPH Please affix a recent passport size
Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID Residential/ Registered Address	D.O.B DD-MM-YYYY	PHOTOGRAPH Please affix a recent passport size photograph & sign
Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID Residential/ Registered Address y/ Town/ Village ate Please tick (✓) the applicable option: ○ Politically Exposed Pers	D.O.B DD-MM-YYYY Pin Code Country	PHOTOGRAPH Please affix a recent passport size photograph & sign across it.
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Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID Residential/ Registered Address y/ Town/ Village ate Please tick (J) the applicable option: Politically Exposed Pers Not a Politically Expose Name Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.) a) PAN b) DIN/ UID	D.O.B DD-MM-YYYY Pin Code Country ORelated to Politically Expenses on (PEP) / Not Related to Politically Expenses on	PHOTOGRAPH Please affix a recent passport size photograph & sign across it. PHOTOGRAPH PHOTOGRAPH Please affix a recent passport size photograph & sign across it.

	TRADING ACCOUNT RELATED DETAIL	_S
1. DEPOSITORY ACCOUNT DETAILS Depository Name V NSDL CDSL	Name of Depository Participant:	JKB FINANCIAL SERVICES LIMITED
Beneficiary Name		DP ID: IN302349
BO-ID (To be allotted by DP)		
2. TRADING PREFERENCES [Please tick to	he box where you wish to trade. The segment not opted s	should be struck off by the client]
	Exchanges: BSE/NSE	
All Segments	Cash/Mutual Fund	F&O
sı 🕼	SI DI	SI LA
If you do not wish to trade in any of the Segm	ents/Mutual Fund, please mention here:	·
[In future if you want to trade on any new segment/ New B	xchange, please submit separate authorization letter. ((Download the format from our website www.jkbfsl.com)]
ONLINE/ OFFLINE PREFERENCES		
Capital Market (NSE & BSE)	Online	ffline OBoth
Derivatives (NSE & BSE)	_ I	
3. OTHER DETAILS Net Worth [not older than 1 year]. Amount Rs.		As on date
Occupation [Please tick (\(\strict{\(\)}\) anyone & give brief details]		
Private Sector Public Se	ctor Government Service	Business Professional
○ Agriculturist ○ Retired	Housewife	Others (Please Specify)
· · · · · · · · · · · · · · · · · · ·		Related to Politically Exposed Person (PEP) ot Related to Politically Exposed Person (PEP)
	Not a Politically Exposed Person (PEP) / No	ot Related to Politically Exposed Person (PEP)
Any Other Information		
		ectors/ Authorized Persons in charge of dealing
5. DEALINGS THROUGH SUB-BROKE	DE 6 OTHER STOCK PROKERS	·
If client is dealing through the sub-broker, p		
Name of the Sub-Broker		
Sub-Broker SEBI Regd. No		
Sub-Broker Address		
Sub-Broker Phone/ Fax		
Sub-Broker Website		
Whether dealing with any other stock broke	r / sub broker (in case dealing with multip	ole stock brokers, please provide details of all)
Name of the Stock Broker		
Name of the Sub-Broker (if any)		
Client Code (UCC)	Exchange	
Details of disputes/ dues pending from/ to su	ch stock broker/ sub-broker (Please Speci	fy):

	<u> </u>	٨٥١	ITIC	NI A	ı r	CT	Λ II ·	<u> </u>																										
	6. ADDITIONAL DETAILS a) Mode of receiving Contract Note) FI	ectr	onic	c O Physical																					
	b) Wish to avail Internet /Wireless Technology Based Trading Facility													0	ONO																			
		c)						•			ing Fa	-	,,						\bigcirc ,			YES			ONO									
																																	ebsite <u>www.jkbfs</u>	
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Reside	11110	II Au	uress	'		+	+	+										1.63	luci	llia	T Au	Juit	-33			+			+		Н	Н,		
1. 2 3	Signature S1 ECLARATION 1. I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. 2. I/ We confirm having read/ been explained & understood the contents of the document on Policy & Procedures of the Stock Broker & the Tariff Sheet. 3. I/ We further confirm having read & understood the contents of the 'Rights & Obligations Document(s)' & 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/ We have also been informed that the standard set of these documents has been displayed for information on stock broker's designated website www.jkbfsl.com. 4. I/ we hereby acknowledge that the receipt of duly executed copy of 'Rights & Obligations Document(s)' & 'Risk Disclosure Document(s)' & 'Guidance Note' & other documents. Further I confirm that the supporting documents for KYC submitted by me are true & correct. Name of Applicant Date																																	
										3	ignat	ure	•			1 /21															_			
UCC	ეიძ	ام م	otto	d to	ال) د	ent		T				Т		7 FC	JK	OFF	ICE	US	L U	NL	Y													
	Ju	C GI	Jule					Ve	rifie	ed w	ith or	iair	nals I	_ bv			CI	lien	t In	ter	vie	we	d b	v							IP۱	V D	one by	
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Branc	h Na	ame														Date	e											_	[5	-			of Authorised	

2	JKB Financial Services Investments Beyond Banking		CA & CRS Declara	tion - Non Individual				
PAI Nai		Tradir	ng	DP Code				
Plea	se tick the applicable tax resident declaration -							
	s "Entity" a tax resident of any country other th		Yes No					
	yes, please provide country/ies in which the	entity is a re	esident for tax purposes and the asso	ciated Tax ID number below.) Identification Type				
Sr. No.	Country		Tax Identification Number	(TIN or Other's, please specify)				
١.								
2.								
	case Tax Identification Number is not availa use TIN or its functional equivalent is not availab		·	Global Entity Identification Number or GIIN, etc.				
In ca	ase the Entity's Country of Incorporation / Tax	x residence is	U.S. but Entity is not a Specified U.S. F	Person, mention Entity's exemption code here				
PAF	RT A (to be filled by Financial Institutions or Direct Re	porting NFEs)						
I.	We are a, Financial institution (Refer I of Part C) or Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)	GIIN above a	do not have a GIIN but you are sponsore and indicate your sponsor's name below onsoring entity	d by another entity, please provide your sponsor's				
	GIIN not available (please tick as applicable)	=	ied for Not obtained – Non-participating FI required to apply for - please specify 2 digits sub-category (Refer I A of Part C)					
PAF	RT B (please fill any one as appropriate "to be filled by	NFEs other than	n Direct Reporting NFEs")					
I.	Is the Entity a publicly traded company (that is whose shares are regularly traded on an establish securities market) (Refer 2a of Part C)		Yes (If yes, please specify any one sto Name of stock exchange	ck exchange on which the stock is regularly traded)				
2.	Is the Entity a related entity of a publicly trade (a company whose shares are regularly traded on established securities market) (Refer 2b of Part	an	Name of listed company	company and one stock exchange on which the stock is regularly traded) he Listed Company or Controlled by a Listed Company				
3.	Is the Entity an active NFE (Refer 2c of Part C)		Yes Nature of Business Please specify the sub-category of Active	NFE (Mention code – refer 2c of Part C)				
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C	<u> </u>	Yes Nature of Business					
l	JBO Declaration (Mandatory for all en	tities except	a Publicly Traded Company or a re	lated entity of Publicly Traded Company)				
	egory (Please tick applicable category):		Company Partnership Firm	Limited Liability Partnership Company				
	Unincorporated association / body of individuals	=	haritable Trust Religious Trust	Private Trust				
\vdash	,	Public C	namable must neighbus must	Filvate ilust				
cont	rolling person(s). (Please attach additional sheets if ne	ecessary)		tizenship and ALL Tax Identification Numbers for EACH s mentioned in Form W8 BEN E (Refer 3(vi) of Part C)				

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip State: Country:	Zip State:Country:	Zip State:
Address Type	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office
Tax ID [%]			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%)			
Protector of Trust to be specified when ⁹⁶ In case Tax Identification Number is no	be enclosed. Else PAN or any other valid i		esignation like Director / Settlor of Trust /
	DECLA	ARATION	
& CRS instructions) and hereby and confirm to inform JKB Finar	confirm that the information provincial Services Limited for any modified provisions of the scheme related	Terms & Conditions mentioned in a ided by me on this Form is true, confication to this information promptled documents inter alia provisions	rect and complete. I hereby agree y.
Name			
	Γ		
Designation			
S1 S1	S2 S2	PLACE	

Companies of JKB Financial Services Limited whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed for all the holders, separately & submit at your nearest JKBFSL branch or dispatch the hard copy to:-

JKB Financial Services Limited

Main Road, Jawahar Nagar, Srinagar J&K - 190008.

		DEMAT	TARIFF CHART					
S. NO	SERVICE	NORMAL	BSDA		CORPORATE			
1	A/C Opening Advance/Deposit [For Non JK Bank Clients]	NIL ₹2500	NIL NIL	NIL ₹5000				
2	A/C Maintenance Charges	₹600 P.A	Subject to Value of Holding Up to ₹4,00,000 ₹4,00,001 to ₹10,00,000 Above ₹10,00,000	NIL ₹100 P.A ₹600 P.A	₹2,500 P.A			
3	A/C Modification		₹50 per modification in Client Master					
	Market/OFF Market Transaction		·					
	Buy			NIL				
4	Sell (DIS Off Market Transaction)	0.05% of Mar	ket Value (Min ₹100 per instru	ction)	0.04% of Market Value (Min ₹100 per instruction)			
	Sell (Market)		0.05% of Market Value (M	in ₹25 & Max	₹500 per instruction)			
5	Pledge Creation / Creation Confirmat Closure / Closure Confirmation / Invocation	tion	0.02% of Market Value (Min ₹25 and Max ₹500 per instruction)					
6	Dematerialisation		0.75% of market value of shares (Min ₹300 & Max. ₹250000 per certificate) plus courier charges as per actuals.					
7	Rematerialisation	,	₹100 up to first hundred securities and after first hundred, ₹50 for every hundred securities or part there of or a flat fee of ₹25 per certificate whichever is higher plus courier charges.					
8	Failed Instruction Charges		₹100 per failed instruction/transaction					
9	Non Periodic A/C Statement Charges Belated Instruction/SPEEDe Charges Demat Mail Charges/Remat Mail Cha Redemption Charges Account Statement Charges	₹50 per Trans	age. saction / 0.02% per Transactio saction plus ₹90 courier charç	,	d Max ₹50)			
10	Book Issuance Charges		k plus courier charges		₹150 per book plus courier charges			
11	Margin Pledge Charges	,	•	er instruction				
12	One Time Documentation Charges		₹339					
Charges	s for Non Payment of Dues							
Penalty	and Interest Charges	A penalty of 2% Per M	Month shall be levied on the ou	tstanding amo	ount after one month from the due			
Non Pay	ment of Bill after 30 days		cers shall be withdrawn (tempo ing the services shall be ₹100 p		ne payment due date.			
Charges maintena In case t	GST as applicable. 2) The DP Service Char are subject to revision of DP's sole discreti ance @ ₹300 P.A plus taxes applicable. he value of holding exceeds ₹10,00,000, ng scheme shall apply.	ion by giving 30 days' not	ice prior to the date of applicabi	lity. 5) Staff Ac	counts shall be charged A/C			

TRADING TARIFF CHART

SEGMENT	TRANSACTION TYPE	TURNOVER	BROKERAGE APPLICABLE
Equity (Cash Market)	Delivery (Each Leg)		RI's: 0.50% NRI's: 0.75%
(Casii Market)	Intraday (Each Leg)	Up to ₹25 Lacs ₹25 Lacs to ₹50 Lacs ₹50 Lacs to ₹1 Crore Above ₹1 Crore	0.10% 0.07% 0.05% 0.03%
Futures	Carry Forward/ Intraday Settlement Expiry		0.10% 0.05% of trade value or ₹25 (Whichever is higher) NIL
Options	Carry Forward/Intraday/Settlement Expiry		₹60 Per Lot [Each Leg]
	VARIABLE MULTI TII	ER BROKERAGE PLAN [F&O (OP	TION CONTRACT)]
SEGMENT	TURNOVER/DAY (NO. OF LOTS)	INTRADAY TARIFF	CARRY FORWARD TARIFF
F&O (NSE) Option (Call/Put)	Above 1000 lots/day 500-900 lots/day 100-499 lots/day Below 99 lots/day	₹20/Lot/Leg ₹30/Lot/Leg ₹40/Lot/Leg ₹50/Lot/Leg	₹40/Lot ₹50/Lot ₹60/Lot ₹60/Lot

TERMS APPLICABLE TO BROKERAGE PLANS

Statutory charges shall be levied separately e.g. GST, STT, Stamp Duty, Exchange Transaction Charges, SEBI Turnover Charges etc. as per the rates applicable from time to time. Cash/Delivery transactions squared off on the same day will be charged brokerage at rates applicable for Intra-Day trades. Brokerage in equity segment is computed on per share basis and is rounded off to the nearest paisa, subject to a minimum brokerage of 5 paisa per share. Option Expiry Charges: Option Final Expiry Charges (comprising of stamp duty, STT etc.) are levied on the settlement value of the option. Exchange Transaction Charges on Options trades will be computed on the premium amount.

OTHER TERMS AND CONDITIONS

1) System abuse charges, if any, as levied by Exchange(s) will be recovered from the respective clients. 2) All Intra-day transactions that are converted to delivery will be charged brokerages as applicable to Cash/Delivery trades. 3) The rates provided in this schedule are subject to change with 30 (Thirty) days prior intimation. 4) In case of non-receipt of full payment against Margin/Settlement obligation, an interest will be charged @ 18% P.A on daily outstanding balance till the date of actual realisation of the outstanding amount. 5) Any penalty levied by Exchange(s) on the client's positions shall be recovered from the client's account. 6) JKB Financial Services is also authorised to debit the charges related to my DP Account to my Trading Account. 7) I/We hereby authorize you to use my/our bank account details (already provided) for dividend purpose, recovery of demat related charges. 8) Any other charges/penalties paid on behalf of the client shall be recovered from the clients trading A/C on actual basis. 9) The minimum brokerage amount per executed order of ₹25 (subject to ceiling of 2.5% of the traded value) will be charged for transactions in Equities and Futures segment.



TERMS & CONDITIONS [VOLUNTARY DOCUMENT]

1. The Client shall maintain such Margin as may be stipulated by JKBFSL from time to time. In case the Client does not provide the required Margin within the time frame stipulated by JKBFSL, then JKBFSL shall take such other action as it may think fit and proper. JKBFSL may require the Client to pay additional Margin immediately in case of high volatility. 2. The Client undertakes to monitor the adequacy of the collateral and the market value of such collateral on a continuous basis. 3. JKBFSL as risk containment measure shall have the discretion to square off/sell all or some of the client positions/collaterals/securities, without any notice to the client. 4. The Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account. 5. JKBFSL shall have the discretion to allow or disallow trading by the client in certain scrips / contracts / products / services. 6. No transaction request shall be assumed to be executed / modified until a confirmation from JKBFSL is received by the Client. 7. The client authorizes JKBFSL to close out the transactions in case the Client fails to make full payment to JKBFSL or if there is debit in client's account with JKBFSL. Also, JKBFSL may square off open client's margin/leveraged positions in case the scrip price is nearing its daily price band or due to any adverse development concerning the client's position or scrip. 8. The client agrees that JKBFSL shall not be liable for non-execution or delay in the execution of any order due to system/network issue or due to any reason beyond the control of JKBFSL. 9. The client shall pay to JKBFSL outstanding debit balance if any in his/her account from time to time without any delay. In case of delay, interest on delayed payment @ 18 % per annum shall be charged on outstanding amount including on interest amount already charged.10. The losses incurred on client's account will be to the account of the client only. Neither JKBFSL nor any of its employees/agents shall in any circumstances be liable for any loss, lost profits, cost, liability, expense or damage occurred to the client. 11. The client authorizes JKBFSL to obtain details in relation to the transactions and balances in respect of the securities held in his/her demat account for the purpose of collating and displaying details in the personalized portfolio watch page. The client acknowledges that the services under 'Portfolio Watch' being provided are as an add on facility and for client convenience only and JKBFSL shall not be liable for any inaccurate display of data due to any unintended error etc. 12. The client authorizes JKBFSL to deposit from time to time client's collateral and deposits with the Exchanges/Clearing Corporation for meeting client's margin requirements and other obligations.13. The client authorizes JKBFSL to provide the communications through SMS and/or telephone calls on the registered mobile number(s), even if the clients contact number is registered with the National Do Not Call Registry etc. The client confirms that he/she shall not make any complaint to the TRAI/Service provider in relation to any call/communications received from JKBFSL and shall not hold JKBFSL liable. 14. The client authorizes JKBFSL to place Mutual Fund transactions as per the instructions provided. 15. The client authorize JKBFSL to set off outstanding in any of the account of the client against credits available or arising in any other accounts/segments/exchanges maintained with JKBFSL. 16. The client authorizes JKBFSL to use the client account details/KYC details/Bank Debit card details/registered telephone numbers/TPIN etc. as method of verification of the client's identity as the caller and then take orders, instructions from the caller over the phone. All such orders, instructions etc. shall be deemed to have been placed by the client and biding on the client. 17. The Client acknowledges that he/it is fully aware of and understands the risks associated with availing of the services for routing orders over the telephone including the risk of misuse and unauthorized use of his/its details and/or username and/or TPIN and/or Bank Debit card details by a third party. The Client agrees that he/it shall be fully liable and responsible for any and all unauthorized transactions and unauthorized use of the above. 18. The client shall not have recourse to dispute redressal mechanism/arbitration mechanism/investor protection schemes of the Stock Exchanges/SEBI, in case the client avails services under any schemes/leagues/competitions etc. offered by any third party/group company/associate of JKBFSL, and concerning such services.19. The client agrees that non-receipt of bounced mail notification by JKBFSL shall amount to delivery of the contract note at the email ID of the client. 20. The Trading Member may keep the unutilized margin deposits of the client in bank deposits. However, no interest shall be passed on to the client earned for such deposits. 21. I have opted to receive Part B comprising of Rights & Obligations (Trading and Demat separately), Uniform Risk Disclosure document and Guidance Note etc. in the form of Ophysical (If the applicant does not select the check box, default option is physical for forms received in Physical and Electronic for others). 22. I hereby expressly consent to and authorize JKBFSL (whether acting by itself or whether in an automated manner or otherwise), to share my personal information and sensitive personal information including demat account balance details in relation of the securities held in my name in my demat account with JKBFSL for the purposes of displaying such details on JKBFSL mobile app/website and for the sake of my convenience and better viewing experience of my account balances on one platform.

BSE STAR MUTUAL FUND FACILITY

I am interested in availing the StAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

RUNNING ACCOUNT AUTHORIZATION

I/We hereby authorize JKBFSL as follows, in respect of my/our trading account with JKBFSL:

To maintain my account, on a running account basis, i.e. instead of paying to me/us any amounts or funds representing payouts, settlement dues, marked to market profits on the settlement date, to retain, withhold, set-off and / or appropriate for such purposes and in such manner as per, including towards settling outstanding obligations on a settlement date. I/We understand and agree that no interest will be payable to me/us on the deposits or amounts or securities lying to my/our credit with JKBFSL. This authorization may be revoked by me/us at any time by giving JKBFSL written intimation. I/We request you to settle my/our account on a Omonthly Ouarterly basis. (If the client does not select the checkbox, default option is Quarterly).



ments Beyond Banking T: +91 194 231 1512 E: jkbfsl@jkbm

Annexure A

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

- 1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- 6. You will get a contract note from the stock broker within 24 hours of the trade.
- 7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- 8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email ld and mobile phone details with the stock broker always updated.
- 9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

	S1 S1
	[Signature of Authorised Person]
NAME	
DATE	



BMLI & DDPI (VOLUNTARY DOCUMENT)

[This document is voluntary. However, the same is required to be executed by the customers in order to avail the seamless trading platform with integrated bank account, demat account and broking account. If you do not wish to use the services of JKBFSL, you need not to execute such documents.]

MASTER MANDATE LETTER

- 1. Demat Debit and Pledge Instruction in favour of [JKBFSL] and
- 2. Mandate letter and instructions to JKBFSL in respect of the Customer Accounts of which they are the holders.

It is understood and acknowledged by the Client and other account holders that this Master Mandate Letter is the principal letter of authority and instrument and the Demat Debit and Pledge Instruction and Mandate Letter are instructions for facilitating and completing transactions contemplated in the Account Opening Documentation. I/We have perused the Account Opening Documentation and consent to the provisions thereof. The aforesaid mandate has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me/us in consideration of the Account Opening Documentation and the mutual rights, covenants and obligations thereunder. This mandate may be revoked by the Client at any time, however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the mandate and instructions granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this mandate and all outstanding's towards JKBFSL have been settled. This master mandate letter shall come into force upon its acceptance by JKBFSL. I/We the Client and the other account holders have hereby executed this master mandate letter at on the day of 20.

Tetter dt on the	20, 01	
Signature and Name of Holders		
S1		
Signature of Authorised Person 1	Signature of Authorised Person 2	Signature of Authorised Person 3
Accepted For		
For JKB Financial Services Ltd		
DATE PLA	CE	

Authorized Signatory

[This document is voluntary. However, the same is required to be executed by the customers in order to avail the seamless trading platform with integrated bank account, demat account and broking account. This mandate letter and instructions are being issued by the client in furtherance of and pursuant to the Account Opening Documentation and forms an integral part of and supplements the Master Mandate Letter. If you do not wish to use the services of JKBFSL, you need not to execute such documents.]

BMLI-BANK MANDATE LETTER AND INSTRUCTIONS

I/We, the Client / Joint Account Holders, mandate and instruct JKBFSL/J&K Bank, acting through any of its officers or employees:

- a. To block, hold and/or create/mark a lien, charge or hypothecation on one or more of the customer Bank Accounts or all or any of the money therein including in the internal systems of JKBFSL, as JKBFSL may deem fit and thereafter without any further instructions (this writing constituting my/our instructions to do so) to transfer all the said money to JKBFSL or any account(s) of JKBFSL specified in the schedule hereto or to such other accounts as may be specified on the website of JKBFSL and by electronic mail otherwise or in accordance with the instructions of JKBFSL.
- b. To transfer any money from any Customer Bank Account(s) to JKBFSL or any account(s) of JKBFSL specified in the schedule hereto or to such other accounts as may be specified on the website of JKBFSL and by electronic mail or otherwise for the following purposes:(i) towards stock exchange related margin/delivery and/or settlement obligations arising out of trades executed by me/us on the stock exchange through JKBFSL;(ii) for recovering any outstanding amount due from me/us arising out of my/our trading activities on the stock exchanges through JKBFSL;(iii) for meeting obligations arising out of my / our subscription to, units of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments, collective investment schemes or any other security or financial instrument, derivatives, including but not limited to equity derivatives, currency derivatives, interest rate derivatives, forwards, futures, swaps and options, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products / facilities / services offered and/or distributed by JKBFSL, from time to time or in connection with any transaction effected or entered into or proposed to be effected or entered into by JKBFSL pursuant to the said Account Opening Documentation;(iv) towards monies/ fees/ charges, or the like due to JKBFSL payable by virtue of my/ us using/ subscribing to any of the facilities/ services availed by me/ us. Provided further, that any funds that have been erroneously transferred to JKBFSL or that JKBFSL was not entitled to receive, shall be re-transferred / returned to the customer account from which they were transferred.
- c. To sign, deliver and/or acknowledge all instructions, forms, instruments, cheques, drafts, slips, receipts and other instruments and writings which are necessary or advisable for performing all or any of the mandate and instructions hereby conferred.
- d. To apply for, purchase, redeem and/or sell in any other manner acquire or dispose of units of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments or other instruments of like nature, collective investment scheme or any other security or financial instrument, currency derivatives, interest rate derivatives, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products/facilities/services, offered and/or distributed by JKBFSL.

- e. To receive and hold certificates, title documents, deposit receipts, account statements and other documents and writings pertaining to mentioned above and/or any amount invested, advanced or expended by me/us or on my/our behalf and to acknowledge receipt of the same
- f. To sign and endorse all such application forms, transfer deeds, deposit receipts, redemption requests, negotiable and other instruments, contracts and other writings and do all such acts as may be required for all or any of the above purposes or otherwise in connection with any transaction effected or entered into or proposed to be effected or entered into by JKBFSL pursuant to the said Account Opening Documentation. For this purpose, J & K Bank may act on the basis of any certificate or writing by JKBFSL that any transaction is effected or entered into or proposed to be effected or entered into by JKBFSL pursuant to the said Account Opening Documentation and the same will be binding on the Joint Account Holder(s) and any other person relying on this mandate and instructions.
- g. To send a consolidated summary of my/our scrip-wise buy and sell positions taken with average rates by short message service or through email on a daily basis. Provided that J & K Bank shall exercise the mandate conferred only pursuant to instructions in that behalf given by the Client, which instructions may be given orally, over the telephone, through the internet, through a kiosk, electronically or in any other manner acceptable to Bank and such instructions given to Bank or JKBFSL shall be admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding against me/us. AND PROVIDED FURTHER THAT the aforesaid mandate and instructions may (at JKBFSL' option) be exercised by J & K Bank on behalf of the Client alone or all or any of the Joint Account Holders, and any such exercise shall be binding upon all the Joint Account Holders. AND I/We the Joint Account Holders do mandate and instruct J & K Bank to, from time to time and without requiring my/our further instructions or consent (this writing constituting my/our consent).
- h. To initiate pledging of funded securities purchased by the client under Margin Trading Funding as per the SEBI MTF regulations.
- i. To avail the open banking services for fund transfer from my Bank account to JKBFSL account.



Signature of Authorised Person 1

For the purpose of the above mandate and instructions, the Joint Account Holders are instructing JKBFSL: (i) The Joint Account Holders of any Customer Bank Account(s) shall at all times make available sufficient funds in the Customer Bank Account(s) for the purpose of the transactions to be carried out pursuant to the Account Opening Documentation and shall not, without JKBFSL prior written consent, close an Customer Bank Account and/or Customer Demat Account or directly or indirectly operate or give instructions in respect of any Customer Account which may prejudice JKBFSL rights under the Account Opening Documentation or the transactions undertaken thereunder or mandate exercisable by J & K Bank hereunder or which would be contrary to the provisions of the Account Opening Documentation or any acts by J & K Bank pursuant to the above. (ii) The Joint Account Holders of any Customer Bank Account(s) agree that (a) instructions given by the Client to J & K Bank to block funds in or to transfer funds from an Customer Bank Account in connection with any transaction entered into and/or to be entered into by the Client with or through JKBFSL will be given first priority over any other instructions or cheques (whether prior or subsequent) given or issued by any Joint Account Holder by itself or through any other attorney,(b) funds once blocked on the instructions of the Client in connection with any transaction entered into and/or to be entered into by the Client with or through JKBFSL can be released only with the express written consent of JKBFSL to J&K Bank; and(c) if the Client has given any blocking, holding, debit or other instructions in respect of any money in any Customer Bank Account, in connection with any transaction entered into and/or to be entered into by the Client with or through JKBFSL, and JKBFSL receives transfer, debit or other instructions in respect of such money from any Joint Account Holder or any other person, then Bank shall first give effect to the first mentioned instructions and shall also

In case of death, disability, winding up or liquidation of any Joint Account Holder, Bank shall be entitled to immediately freeze the Customer Bank Accounts, and the mandate, shall be revoked as regards such Joint Account Holder upon the intimation in writing to Bank and JKBFSL of such death, disablement, dissolution, winding up or liquidation by the surviving Joint Account Holder/s and the instructions and agreements contained herein shall be revoked within two days of such intimation. Due to any systems used for executing instructions there could be consequent delay of one business day (in the normal course) or other number of days (for reason not in control of Bank) from the date of receipt of the instructions to the actual execution of the instructions in the systems and hence instructions will be provided well in advance in order to enable timely delivery. Further all instructions, whether for a hold or transfer or otherwise would be in respect of the balances in the Customer Bank Accounts on the previous day and Bank would not permit blocking/transfer of any other action in respect of funds which have come to the credit of the Customer Bank Accounts on the current day. This mandate may be revoked by the Client at any time, however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the mandate and instructions granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this mandate and all outstanding's towards JKBFSL have been settled. I/We the Client and the other account holders have hereby executed this mandate and instruction at _______ on the _______

LIST OF JKBFSL UPSTREAMING CLIENT NODAL BANK ACCOUNTS WHERE FUNDS CAN BE MOVED

Name of the Bank	Name of A/c	Account Number
HDFC Bank Limited	JKB Financial Services Ltd. NSE CM USCNB A/C	04150340000123
HDFC Bank Limited	JKB Financial Services Ltd. NSE FO USCNB A/C	04150340000106
HDFC Bank Limited	JKB Financial Services Ltd. BSE CM USCNB A/C	04150340000140
HDFC Bank Limited	JKB Financial Services Ltd. BSE FO USCNB A/C	04150340000133
J&K Bank Limited	IKB Financial Services Ltd Client USCNB A/C	1111010100000102

CUSTOMER BANK ACCOUNT DETAILS:

Bank A/C Number											ccc	ount	Туј	pe:	SA	AVINGS CURRENT)	Bank Name: J&K Bank							
Customer / UCC													В	rand	ch																
Name																															
Address																															

	1
S1	

Signature of Authorised Person 1

Signature of Authorised Person 2

Signature of Authorised Person 3

[This document is voluntary. However, the same is required to be executed by the customers in order to avail the seamless trading platform with integrated bank account, demat account and broking account. This Demat Debit and Pledge Instruction is being issued by the client in furtherance of and pursuant to the Account Opening Form and forms an integral part of and supplements the Master Mandate Letter. If you do not wish to use the services of JKBFSL, you need not to execute such documents.]

To JKB Financial Services Limited Main Road, Jawahar Nagar

Srinagar J&K-190008

DDPI - DEMAT DEBIT AND PLEDGE INSTRUCTION

. No									_							Pu	rpos	e																
	Transfe	r of sec	uritie	s he	eld in	the	e De	mat	t Ar	COI	ints	of t	he	Joint	Acc				(s) t	owa	ard	s Sto	ck	Exc	:ha	nae	e re	ela	ted	de	elive	erie	s /settlem	nent
	obligati																									-				uc		.110	3 / 301110111	iciic
	Signatu							·cut	cu	0	0111		cou		oluci	1(3)	011 (1		1001		CIIC	ange		out	911	J111	٠, ١		•			—		
	Signatu	ire and	Itallic	. 01	TIOIG	-																												
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